

Risk Management Controls

Quarterly Exercise Fulfillment Form

Designation: (Internal - IT Management Use Only)

Date: ___ / ___ / _____

IT Manager Signature: _____

Continued Risk Education

Was KnowBe4 campaign done this quarter: (Y/N) ____

Was EMAIL reminders sent to ALL Users detailing responsibility for the following:

(Phishing/USB DRIVES/UNLOCKED WINDOWS & PC) : (Y/N) ____ if NO, explain: _____

Gap Analysis

1. Was Security awareness education objective met by ATLAM: (Y/N) ____

2. Is the IT Infrastructure adequate for business growth: (Y/N) ____ Explain: _____

Access Management Gap

Is GAP closed: (Y/N) ____ IS MFA active for all Network access (Y/N) ____ Is AD (Active Directory) fully implemented (Y/N) ____

Mobile Device Access Allowed: (Y/N) ____ Cloud based-technologies allowed: (Y/N) ____ if yes, any outstanding gaps: (Y/N) ____ Explain: ____

Cyber Security

All devices (servers & PC's) equipped with DATTO EDR : (Y/N) ____

All devices (Servers , PC's and Network equipment) running SIEM & MDR : (Y/N) ____

Capacity Planning and Improvements

Any opened Gaps: (Y/N) ____ if Yes, explain: _____

Change Management System

Is HelpDesk Ticketing services for (Incident Management, Change Request Management) working as configured: (Y/N) ____ if not, explain: ____

Infrastructural Goals

Data Access Framework in place (Y/N) ____ Enterprise Best Practices enforced (Y/N) ____ IBM storage capacity adequate: (Y/N): ____

IT staff technology train: (Y/N) ____ Any outstanding Risks: (Y/N) ____ if Yes, explain _____

2. _____

3. _____

Objective Action Items

not met (Y/N): ____ if not met, explain:

1. _____

2. _____

3. _____

4. _____

5. _____

6. _____